

## J. Travis Alley

Associate

### Dallas

Comerica Bank Tower  
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### Areas Of Practice

Business Services  
Corporate Finance  
Corporate Governance  
Private Equity, Venture Capital &  
Mezzanine Finance  
Securities

### Industries

Dental Service Organizations  
Industry Group

### Bar Admissions

Texas, 2018

### Education

Texas A&M University School of  
Law, J.D., 2018

- *Texas A&M Journal of Property Law*, Business Editor
- Texas A&M Law Fellowship Program, 2016-2017
- Phi Delta Phi Legal Honor Society

Texas A&M University, B.S., 2014

- Industrial Distribution

Travis Alley is an associate in Dykema's Dallas office. Mr. Alley currently focuses his practice on mergers and acquisitions and represents buyers and sellers in the acquisition or disposition of assets. Mr. Alley graduated from Texas A&M University School of Law in May 2018 where he served as the Business Editor of the *Texas A&M Journal of Property Law*.

### Experience

- Negotiated confidentiality agreements for a global private equity client with over \$100 billion in assets under management
- Represented private equity funds in Hart-Scott-Rodino premerger notification filings with the FTC and DOJ
- Drafted and negotiated stock purchase agreement for the \$7 million acquisition of an aerospace component manufacturer
- Represented medical corporation in the filing of SEC Form S-8 in connection with employee stock incentive program
- Drafted investment advisor compliance manuals for hedge fund and private equity clients
- Successfully guided private equity and hedge fund clients throughout SEC and state-sponsored examinations
- Experienced in filing Form ADV and Form PF in connection with investment advisor and private fund compliance
- Regulatory Compliance Counsel for fund administrator with \$16 billion assets under administration
- In-house counsel, corporate governance
- Provided strategic guidance and assistance on electronic discovery, depositions, motions, settlements, and hearings
- Investigated and analyzed conflicts of interest and AML/KYC issues
- Assisted companies with investment advisory agreements, master service agreements, compliance agreements, non-disclosure, non-compete agreements; consent letters, regulatory review, and general corporate law
- Created investment advisor compliance manuals for hedge fund and private equity clients
- Aided private equity and hedge fund clients with SEC and state examinations

J. Travis Alley (Cont.)

## **Publications**

*Pokémon Go: Emerging Liability Arising From Virtual Trespass for Augmented Reality Applications*, 4 Tex. A&M J. Prop. L. 273 (2018)

## **Memberships & Involvement**

- State Bar of Texas
- Tarrant County Bar Association

## **Community/Civic Activities**

- Dallas Volunteer Attorney Program